

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	<b>200207858-1</b>		
	APPLICANT		
	<b>Francisco R mero</b>		
	FILING DATE	GROUP	
	<b>07/10/2003</b>		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/JT/	1A	2002/0129127	09/12/2002	Romero et al.	
/JT/	1B	2002/0016812	02/07/2002	Uchishiba et al.	
/JT/	1C	2002/0069279	06/06/2002	Romero et al.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/JT/	1Q	Sun Microsystems, Inc., White Paper, "Transforming the Economics of Computing", Optimizing IT Infrastructure Utilization, 2003, Chapters 1-5, pages 1-10
/JT/	1R	Sun Microsystems, Inc., White Paper, "N1 - Introducing Just in Time Computing", An Executive Brief, 2002, 9 pages
/JT/	1S	Sun Microsystems, Inc., N1 Technology Brief, "The I-Fabric Architecture", A core component of Sun's Terraspring software, 2002, 2 pages

EXAMINER	DATE CONSIDERED
/Jennifer To/	04/10/2007

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	2O					
	2P					

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/JT/	2Q	IBM Think Research papers: 1) eWLM: Distributed Computing (2 pages); 2) eWLM: Managing Complexity (2 pages); 3) eWLM: Allocating for Efficiency (2 pages); 4) The Great Balancing Act (2 pages); and 5) eWLM: Autonomic Computing (3 pages); 2002, eWLM: Enterprise Workload Management
/JT/	2R	IBM Presentation: "Workload Management for e-Business: eWLM, Mike Smith, 4/1/2003, 27 pages
/JT/	2S	Francisco Romero, Patent Application (49 pages of specification, claims and abstract, and 4 sheets of drawings), "Dynamic Adaptive Server Provisioning for Blade Architectures", Atty. Docket No. 100110160-1

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	3P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/JT/	3Q	"Apparatus and Method to Automatically Activate a Reserve Resource", U.S. Patent Application Serial Number 09/709,705 (22 pages of specification, claims and abstract, and 5 sheets of drawings), filed 11/09/2000, Atty. Docket No. 10002676-1
/JT/	3R	Clifford A. McCarthy, et al., "Dynamic Management of Virtual Partition Computer Workloads through Service Level Optimization", U.S. Patent Application Serial Number 10/206,594 (27 pages of specification, claims and abstract, and 5 sheets of drawings), filed 7/26/2002, Atty. Docket No. 10010581-1
/JT/	3S	Clifford A. McCarthy, et al., "Dynamic Management of Computer Workloads through Service Level Optimization", U.S. Patent Application Serial Number 09/493,753 (36 pages of specification, claims and abstract, and 7 sheets of drawings), filed 1/28/2000, Atty. Docket No. 10992091

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	4O					
	4P					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

/JT/	4Q	Paul Henry Bouchier, et al., "Reconfiguration Support for a Multi Partition Computer System", U.S. Patent Application Serial No. 09/562,590 (37 pages of specification, claims and abstract, and 4 sheets of drawings), filed 04/29/2000, Atty. Docket No. 10001728-1
	4R	
	4S	

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/Jennifer To/

DATE CONSIDERED

04/10/2007



Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet	1	of	2
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**Complete if Known**

Application Number	10/616,883
Filing Date	07/10/2003
First Named Inventor	Francisco Romero
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	200207858-1

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Examiner Signature	/Jennifer To/	Date Considered	04/10/2007
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Application Number	10/616,883
Filing Date	07/10/2003f
First Named Inventor	Francisco Romero
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	200207858-1

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/JT/		Examiner Henrik Ebbesen Jensen, Search Report, Application No. GB 0415283.1, November 15, 2004, one page.	

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Signature

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Date  
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Application Number	10/616,883
Filing Date	July 10, 2003
First Named Inventor	Francisco Romero
Art Unit	2195
Examiner Name	AN, Meng Ai T.
Attorney Docket Number	200207858-1

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

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First Named Inventor	Francisco Romero
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Examiner Name	Meng Ai. T. An
Attorney Docket Number	200207858-1

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